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Application No. 10/002,953  Examiner-GAU Mills - 1763	Prepared by NH  Date 3-12-4  No. of queries	Tracking Number 05907026 Week Date 02/16/04

JACKET				
<ul><li>a. Serial No.</li><li>b. Applicant(s)</li><li>c. Continuing Data</li><li>d. PCT</li><li>e. Domestic Priority</li></ul>	<ul><li>f. Foreign Priority</li><li>g. Disclaimer</li><li>h. Microfiche Appendix</li><li>i. Title</li><li>j. Claims Allowed</li></ul>	k. Print Claim(s) I. Print Fig. m. Searched Column n. PTO-270/328 o. PTO-892	p. PTO-1449 q. PTOL-85b r. Abstract s. Sheets/Figs t. Other	i
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125 SUMMER STREET BOSTON MA 02110-1618

T 617 443 9292 F 617 443 0004 WWW.BROMSUN.COM

BROMBERG & SUNSTEIN LLP

# **FACSIMILE**

TO

Examiner Culbert

FAX

571-273-1433

United States Patent and Trademark

Jeffrey T. Klayman, Esq.

(INCLUDING THIS SHEET)

PHONE

FROM

800-PTO-9199

DATE

3/25/2004

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Copy of Completion of Filing Requirements

**OUR FILE** 

2550/117

YOUR FILE

Application No.: 10/002,953

**COMMENTS** 

Please see attached.

02467/00104 297915.1

PLEASE NOTIFY BROMBERG & SUNSTEIN LLP AT (617) 443-9292, IF THERE ARE ANY PROBLEMS WITH THIS TRANSMISSION.

THIS TRANSMITTAL IS INTENDED ONLY FOR THE ADDRESSEE, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED OR CONFIDENTIAL. IF THE RECIPIENT OF THIS TRANSMITTAL IS NOT THE ADDRESSEE, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE.

Practitioner's Docket No. 2550/117

PATENT

## TES PATENT AND TRADEMARK OFFICE

In re application of: Felton et al. Application No.: 10/002,953 Filed: October 23, 2001

For: MEMS Capping Method and Apparatus



Group No.: 1734

Examiner:

**Box Missing Part** Commissioner for Patents Washington, D.C. 20231

## COMPLETION OF FILING REQUIREMENTS -- NONPROVISIONAL APPLICATION

1. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed January 25, 2002.

A copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) is enclosed.

## **DECLARATION OR OATH**

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

### CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

# [X] deposited with the United States Postal Service [] with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

MAILING

Date: March 29, 2002

FACSIMI	LE
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transmitted by facsimile to the Patent and Trademark Office at (703) \_

Jeffrey T. Klayman

(Completion of Filing Requirements--Nonprovisional Application--page 1 of 3)

(type or print name of person certifying)

### **COMPLETION FEES**

III.

1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))

\$740.00

2. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath

(37 C.F.R. Section 1.16(e))

\$130.00

3. Assignment (See "ASSIGNMENT

COVER SHEET")

<u>\$ 40.00</u>

Total Completion Fees

\$910.00

#### EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(1), for one month.

Fee

\$110.00

If an additional extension of time is required, please consider this a petition therefor.

### TOTAL FEE DUE

V. The total fee due is:

Completion fees

\$910.00

Extension fee (if any)

\$110.00

Total Fee Due

\$1,020.00

### PAYMENT OF FEES

(Completion of Filing Requirements--Nonprovisional Application--page 2 of 3)

VI. Enclosed is a check in the amount of \$1.020.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-4972.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Jeffrey T. Klayman, Reg. No. 39,250

Bromberg & Sunstein LLP

125 Summer Street

Boston, MA 02110-1618

US

Customer No. 02101

O2101



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.lucoto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/002.953

10/23/2001

Lawrence E. Felton

2550/117

02101 BROMBERG & SUNSTEIN LLP 125 SUMMER STREET BOSTON, MA 02110-1618



Date Mailed: 01/25/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$870.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Practitioner's Docket No. 2550/117

PATENT

# COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

### TYPE OF DECLARATION

This declaration is for an original application.

## INVENTORSHIP IDENTIFICATION!

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am an original, first and joint inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

## TITLE OF INVENTION

MEMS Capping Method and Apparatus

### SPECIFICATION IDENTIFICATION

The specification was filed on October 23, 2001, as Serial No. 10/002,953.

# ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56, and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent.

(Declaration and Power of Attorney-page 1 of 4)

# POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Jeffrey T. Klayman	39,250
Bruce D. Sunstein	27,234
Robert M. Asher	30,445
Timothy M. Murphy	33,198
Steven G. Saunders	36,265
Harriet M. Strimpel	37,008
Karen A. Buchanan	37,790
Samuel J. Petuchowski	37,910
John J. Stickevers	39,387
Jean M. Tibbetts	43,193
Jay Sandvos	43,900
Sonia K., Guterman	44,729
Keith J. Wood	45,235
Alton Hornsby, III	47,299
Alexander J. Smolenski	47,953
John L. Conway	48241

Ihereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

Jeffrey T. Klayman 617-443-9292

Jeffrey T. Klayman 125 Summer Street Boston, MA 02110-1618 US

Customer Number 02101

#### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

I ^	wrence	СТ	7-1
1 .21	wience	г. г	<b>"(-"                                   </b>

Residence

Hopkinton, MA

**Post Office Address** 

15 Alexander Road, Hopkinton, MA 01748

Peter W. Farrell

Inventor's signature

Date

Country of Citizenship US

Residence

Lunenberg, MA

Post Office Address 7 Fitchview Avenue, Lunenburg, MA 01462

(Declaration and Power of Attorncy-page 3 of 4)

Jing Luo	0 0
Inventor's signature	V Och
Date 2/25/02	Country of Citizenship US
Residence Lexington, MA	• • • • • • • • • • • • • • • • • • • •
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David J. Collins	A A A A
Inventor's signature	1. Collins
Date 2/30/03	Country of Citizenship US
Residence Windham, NH	• · · · · · · · · · · · · · · · · · · ·
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	Marie de la companya
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John R. Martin	a R martin
Inventor's signature	i R Martin
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Post Office Address 66 Summer S	treet, Foxborough, MA 02035
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0.0	, 0
William A. Webster	1, \$
Inventor's signature Wolfe	Work
Date 2/20/02	Country of Citizenship US
Residence Tewksbury, MA	•

Post Office Address 8 Kent Street, Tewksbury, MA 01876

### **ASSIGNMENT**

**ASSIGNOR:** 

Lawrence E. Felton

Peter W. Farrell

Jing Luo

David J. Collins John R. Martin William A. Webster

ASSIGNEE:

Analog Devices, Inc.

One Technology Way

Norwood, MA 02062-9106

STATE OF INCORPORATION OF ASSIGNEE: Massachusetts

**INVENTION:** 

MEMS Capping Method and Apparatus

**ATTORNEY DOCKET:** 

2550/117

SERIAL NO:

10/002,953

FILED:

October 23, 2001

Assignor is the solc inventor (if only one inventor is listed above) or a joint inventor (if more than one inventor is listed above) of the above invention (the "Invention") described in a United States patent application (the "Application") bearing the above attorney docket number and having as a title the above name for the Invention. The Application has a Patent and Trademark Office filing date and serial number as indicated above, or if no filing date and serial number are shown, has a Declaration executed by Assignor contemporaneously with this Assignment.

For valuable consideration, receipt of which is acknowledged, each Assignor hereby assigns to Assignee (which term shall include Assignee's successors and assigns), all of Assignor's right, title and interest in the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application, any divisional, continuation-in-whole, or substitute United States

application which is based on the Application; or any continuation-in-part United States application (including divisions, continuations-in-whole or -in-part, and substitutions thereof or therefor) based in-part on any of the above described applications.

Each Assignor further agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Each Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

	:
IN WITNESS WHEREOF, each A	Assignor hereby executes this instrument on the date set
forth below.	!
Date: 2/23/02	Lawrence E. Felton, Assignor
COMMONWEALTH OF MASSACHUS	SETTS )
COUNTY OF Middleser	) ss. )
duly sworn, acknowledged his signature o	for said county and state, personally appeared Lawrence lescribed in the foregoing instrument, who, being first on the foregoing instrument in my presence and declared a date written above opposite his signature.
٠.	_ Celesto Tto tales less
(seal)	Notary Public My Commission Expires:  CELESTE HOTALING-LYONS  Notary Public Commonwealth of Massachuse My Commission Expires
Date: 2/25/02	Peter W. Farrell, Assignor
COMMONWEALTH OF MASSACHUSI	
raiten, to me known to be the person desc	or said county and state, personally appeared Peter W. ribed in the foregoing instrument, who, being first duly foregoing instrument in my presence and declared the e written above opposite his signature.
seal)	Commission Expires:
	CELESTE HOTALING-LYONS Notary Public Commonwealth of Massachusetts My Commission Explice June 14, 2007

Date: 2/25/02	Jing Luo, Assignor	
	Jing Luo, Assignor	• • • • • • • • • • • • • • • • • • • •
COMMONWEALTH OF MASSACHUSE	etts )	:
COUNTY OF Middlesex	) ss. )	: : :
Before me, a notary public in and for to me known to be the person described in acknowledged his signature on the foregoing be his free act and deed on the date written	the foregoing instrument, who, being instrument in my presence and d	ig first duly swom
	Cleet Hotalie	Come
(seal)	Notary Public My Commission Expires:	CELESTE HOTALING LYONS Notary Public
Date: 2/20/02	David J. Collins  David J. Collins, Assignor	Commonwealth of Messachuse My Commission Explina June 14, 2007
STATE OF NEW HAMPSHIRE	)	: :
COUNTY OF LOCKING HAM	) ss. )	
Before me, a notary public in and for Collins, to me known to be the person descr duly sworn, acknowledged his signature on the same to be his free act and deed on the d	ibed in the foregoing instrument, w the foregoing instrument in my pre-	ho, being first
	Rich Hotaley- Notary Public	lyns
(seal)	My Commission Expires:	· .
	CELESTE HOTALING-LYONS Notary Public Commonwealth of Messachusetts My Commission Expires June 14, 2007	

-4-

Date: Feb. 25, 2002

COMMONWEALTH OF MASSACHUSETTS

John R Martin

J	John R. Martin, Assignor
COMMONWEALTH OF MASSACHUSETT	rs )
COUNTY OF Middlesox	) ss. )
The state of the s	said county and state, personally appeared John R.
	ed in the foregoing instrument, who, being first ee foregoing instrument in my presence and declared e written above opposite his signature.
	aliste Hotoling-lyens
	Notary Public  My Commission Expires:  CELESTE HOTALING-LYONS  Notary Public  Commonwealth of Messachusett
Date: 2/20/02	Will a wi
	William A. Webster, Assignor

Before me, a notary public in and for said county and state, personally appeared William A. Webster, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Notary Public

) \$\$.

My Commission Expires:

CELESTETIOTALING-LYONS
Notary Public
Commonwealth of Measachusette
My Commission Expires
June 14, 2007

185507

(seal)

COUNTY OF

	Please	PLEASE DATE STAMP AND RETURN			
	The Commissioner for Patenta has rec	eived	from Brotobe	ng & Supetain LLP the following m	
lprenter	Fellon et pl.		Docket No:	2550/117	
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filing/he	page Date: October 23, 2001		Express Mail	Na:	
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